

12/28/01



Please type a plus sign (+) inside box [+]

01-09-02

PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. P10720

(maximum 12 characters)

First Named Inventor Doron OrenstienTitle: METHOD AND APPARATUS FOR COMMUNICATING BETWEEN INTEGRATED CIRCUITS IN A LOW POWER MODEExpress Mail Label No. EJ201093389US

ADDRESS TO: **Assistant Commissioner for Patents**
Box Patent Application
Washington, D.C 20231

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ **Fee Transmittal Form (e.g., PTO/SB/17)**
(Submit an original, and a duplicate for fee processing)
2. ☐ **Applicant Claims Small Entity Status. (37 CFR 1.27)**
3. ☒ **Specification (Total Pages 23)**
(preferred arrangement set forth below)
 - Descriptive Title of the Invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ **Drawings(s) (35 USC 113) (Total Sheets 3)**
5. ☒ **Oath or Declaration (Total Pages 4)**
 - a. ☒ Newly Executed (Original or Copy)
 - b. ☐ Copy from a Prior Application (37 CFR 1.63(d))
(for Continuation/Divisional with Box 17 completed)
 - i. ☐ **DELETIONS OF INVENTOR(S)** Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
 - c. ☐ Unsigned.
6. ☐ **Application Data Sheet. (37 CFR 1.76)**
7. ☐ **CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)**
8. ☐ **Nucleotide and/or Amino Acid Sequence Submission**
(if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. ☐ Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statement verifying identity of above copies

jc996 U.S. PTO

10/040608



12/28/01

ACCOMPANYING APPLICATION PARTS

9. ☒ **Assignment Papers (cover sheet & documents(s))**
10. ☐ a. Separate 37 CFR 3.73(b) Statement (where there is an assignee)
- ☐ b. Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ a. Information Disclosure Statement (IDS)/PTO-1449
- ☐ b. Copies of IDS Citations
13. ☐ **Preliminary Amendment**
14. ☒ **Return Receipt Postcard (MPEP 503) (Should be specifically itemized)**
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ **Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.**
17. ☒ Other: Copy of Postcard with Express Mail Certificate of Mailing

18A. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)
Of Prior Application No.: _____ Examiner _____ Group Art Unit _____

Applicant(s): Also include a Preliminary Amendment to amend the specification to claim priority.
For CONTINUATION AND DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18B. Statement under 37 CFR 3.73(b) for continuing application:

The undersigned states that _____ (name of assignee) is the assignee of the entire right, title, and interest in the accompanying patent application by virtue of an assignment recorded in the Patent and Trademark Office at Reel No. _____ Frame No. _____ (or a copy of which is attached).

19. Correspondence Address

☐ Customer Number or Bar Code Label _____
or _____ (Insert Customer No. or Attach Bar Code Label here)
☒ Correspondence Address Below

NAME David J. Kaplan
Intel Corporation, SC4-202

ADDRESS 2200 Mission College Blvd

CITY Santa Clara STATE California ZIP CODE 95052

Country U.S.A. TELEPHONE (408) 765-1823 FAX (408) 765-7723

Name (PRINT/TYPED): John P. Ward Registration No.: 40,216

Signature: _____ Date: 12/27/01

FEE TRANSMITTAL FOR FY 2002**TOTAL AMOUNT OF PAYMENT (\$)** 1,004.00**Complete if Known:**Application No. Not Yet AssignedFiling HerewithFirst Named Inventor Doron OrenstienGroup Art Unit Not Yet AssignedExaminer Name Not Yet AssignedAttorney Docket No. P10720**METHOD OF PAYMENT (check one)**

1. ☒ [X] The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 50-0221Deposit Account Name Intel Corporation

- ☐ [] Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

2. ☐ Payment Enclosed:

☐ Check☐ Money Order☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

| <u>Large Entity</u> | | <u>Small Entity</u> | | <u>Fee Description</u> | <u>Fee Paid</u> |
|---------------------|----------|---------------------|----------|------------------------------------|-------------------|
| Code | Fee (\$) | Code | Fee (\$) | | |
| 101 | 740 | 201 | 370 | Utility application filing fee | <u>740.00</u> |
| 106 | 330 | 206 | 165 | Design application filing fee | <u> </u> |
| 107 | 510 | 207 | 255 | Plant filing fee | <u> </u> |
| 108 | 740 | 208 | 370 | Reissue filing fee | <u> </u> |
| 114 | 160 | 214 | 80 | Provisional application filing fee | <u> </u> |

SUBTOTAL (1) \$ 740.00**2. EXTRA CLAIM FEES**

| | | | <u>Extra Claims</u> | <u>Fee from below</u> | <u>Fee Paid</u> |
|--------------------|-----------|----------|---------------------|-----------------------|---------------------|
| Total Claims | <u>30</u> | - 20** = | <u>10</u> | X <u>18.00</u> | = <u>180.00</u> |
| Independent Claims | <u>4</u> | - 3** = | <u>1</u> | X <u>84.00</u> | = <u>84.00</u> |
| Multiple Dependent | | | | <u> </u> | = <u> </u> |

****Or number previously paid, if greater; For Reissues, see below.**

| <u>Large Entity</u> | | <u>Small Entity</u> | | <u>Fee Description</u> |
|---------------------|----------|---------------------|----------|---|
| Code | Fee (\$) | Code | Fee (\$) | |
| 103 | 18 | 203 | 9 | Claims in excess of 20 |
| 102 | 84 | 202 | 42 | Independent claims in excess of 3 |
| 104 | 280 | 204 | 140 | Multiple dependent claim, if not paid |
| 109 | 84 | 209 | 42 | **Reissue independent claims over original patent |
| 110 | 18 | 210 | 9 | **Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) \$ 264.00

FEE CALCULATION (continued)
3. ADDITIONAL FEES

| <u>Large Entity</u> | | <u>Small Entity</u> | | <u>Fee Description</u> | <u>Fee Paid</u> |
|---------------------|----------|---------------------|----------|--|-----------------|
| Code | Fee (\$) | Code | Fee (\$) | | |
| 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | |
| 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 139 | 130 | 139 | 130 | Non-English specification | |
| 147 | 2,520 | 147 | 2,520 | For filing a request for ex-parte reexamination | |
| 099 | 8,800 | 099 | 8,800 | Request for inter partes reexamination | |
| 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | |
| 115 | 110 | 215 | 55 | Extension for response within first month | |
| 116 | 400 | 216 | 200 | Extension for response within second month | |
| 117 | 920 | 217 | 460 | Extension for response within third month | |
| 118 | 1,440 | 218 | 720 | Extension for response within fourth month | |
| 128 | 1,960 | 228 | 980 | Extension for response within fifth month | |
| 119 | 320 | 219 | 160 | Notice of Appeal | |
| 120 | 320 | 220 | 160 | Filing a brief in support of an appeal | |
| 121 | 280 | 221 | 140 | Request for oral hearing | |
| 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | |
| 140 | 110 | 240 | 55 | Petition to revive unavoidably abandoned application or unavoidably delayed payment of issue fee | |
| 141 | 1,280 | 241 | 640 | Petition to revive unintentionally abandoned application or unintentionally delayed payment of issue fee | |
| 142 | 1,280 | 242 | 640 | Utility issue fee (or reissue) | |
| 143 | 460 | 243 | 230 | Design issue fee | |
| 144 | 620 | 244 | 310 | Plant issue fee | |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | |
| 123 | 50 | 123 | 50 | Petitions related to provisional applications | |
| 126 | 180 | 126 | 180 | Submission of Information Disclosure Stmt | |
| 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | |
| 146 | 740 | 246 | 370 | For filing a submission after final rejection (see 37 CFR 1.129(a)) | |
| 149 | 740 | 249 | 370 | For each additional invention to be examined (see 37 CFR 1.129(b)) | |
| 179 | 740 | 279 | 370 | Request for Continued Examination (RCE) | |
| 169 | 900 | 169 | 900 | Request for expedited examination of a design application | |

Other fee (specify) _____

Other fee (specify) _____

SUBTOTAL (3) \$0

*Reduced by Basic Filing Fee Paid

SUBMITTED BY:

Typed or Printed Name: John P. Ward

Signature: [Signature] Date: 12/27/01

Reg. Number: 40,216 Telephone Number: 408-720-8300

10040600 4 2200

EXPRESS MAIL CERTIFICATE OF MAILING

"Express Mail" mailing label number: EJ201093389US
Date of Deposit: 12/28/01

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231

D. Roberts
(Typed or printed name of person mailing paper or fee)

D. Roberts
(Signature of person mailing paper or fee)

12/28/01
(Date signed)

| | |
|--|--|
| Serial/Patent No. **** | Filing/Issue Date: <u>Herewith</u> |
| Client: <u>Intel Corporation</u> | |
| Title: <u>METHOD AND APPARATUS FOR COMMUNICATING BETWEEN INTEGRATED CIRCUITS IN A LOW POWER MODE</u> | |
| BSTZ File No.: <u>P10720</u> | Atty/Secty Initials: <u>JPW/DJK/dkr</u> |
| Date Mailed: <u>12/28/01</u> | Docket Due Date: **** |
| The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon: | |
| <input type="checkbox"/> Amendment/Response (____ pgs.) | <input checked="" type="checkbox"/> Express Mail No. <u>EJ201093389US</u> <input type="checkbox"/> Check No. _____ |
| <input type="checkbox"/> Appeal Brief (____ pgs.) (in triplicate) | <input type="checkbox"/> Month(s) Extension of Time _____ Amt: _____ |
| <input checked="" type="checkbox"/> Application - Utility (<u>23</u> pgs., with cover and abstract) | <input type="checkbox"/> Information Disclosure Statement & PTO-149 (____ pgs.) <input type="checkbox"/> Check No. _____ |
| <input type="checkbox"/> Application - Rule 1.53(b) Continuation (____ pgs.) | <input type="checkbox"/> Issue Fee Transmittal _____ Amt: _____ |
| <input type="checkbox"/> Application - Rule 1.53(b) Divisional (____ pgs.) | <input type="checkbox"/> Notice of Appeal _____ |
| <input checked="" type="checkbox"/> Application - Rule 1.53(b) CIP (____ pgs.) | <input type="checkbox"/> Petition for Extension of Time _____ |
| <input type="checkbox"/> Application - Rule 1.53(d) CPA Transmittal (____ pgs.) | <input type="checkbox"/> Petition for _____ |
| <input type="checkbox"/> Application - Design (____ pgs.) | <input checked="" type="checkbox"/> Postcard _____ |
| <input type="checkbox"/> Application - PCT (____ pgs.) | <input type="checkbox"/> Power of Attorney (____ pgs.) |
| <input type="checkbox"/> Application - Provisional (____ pgs.) | <input type="checkbox"/> Preliminary Amendment (____ pgs.) |
| <input checked="" type="checkbox"/> Assignment and Cover Sheet <u>3</u> Pgs Signed | <input type="checkbox"/> Reply Brief (____ pgs.) |
| <input checked="" type="checkbox"/> Certificate of Mailing <u>Express Mail</u> | <input type="checkbox"/> Response to Notice of Missing Parts _____ |
| <input checked="" type="checkbox"/> Declaration & POA (<u>4</u> pgs.) Signed | <input type="checkbox"/> Small Entity Declaration for Indep. Inventor/Small Business _____ |
| <input type="checkbox"/> Disclosure Docs & Orig Copy of Invention Signed Letter (____ pgs.) | <input checked="" type="checkbox"/> Transmittal Letter, in duplicate <u>Utility 2 Pgs</u> |
| <input checked="" type="checkbox"/> Drawings: <u>3</u> # of sheets includes: <u>3</u> figures | <input checked="" type="checkbox"/> Fee Transmittal, in duplicate <u>2 Pgs</u> |
| <input type="checkbox"/> Other: _____ | |